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Fundamental Fermions Fit Inside One su(1|5) Irreducible Representation

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The Lie superalgebra su(1|5) has irreducible representations of dimension 32, in which the 32 fundamental fermions of one generation (leptons and quarks, of left and right chirality, and their antiparticles) can be accommodated. The branching of these su(1|5) representations with respect to its subalgebra $su(3) \times su(2) \times u(1)$ reproduces precisely the classification of these fundamental fermions according to the gauge group $su(3)^c \times su(2)^w \times u(1)^w$ of the Standard Model. Furthermore, a simple construction of the relevant representations is given, and some consequences are discussed.

KEY WORDS: gauge theories; unified theories; group theoretical models; classification of fundamental fermions; Lie superalgebra su(1|5).

1. INTRODUCTION

The success of the Standard Model (Glashow, 1961; Salam and Ward, 1964; Weinberg, 1967; Salam, 1968; Weinberg, 1973; Gross and Wilczek, 1973; Fritzsch et al., 1973), with gauge group (or Lie algebra) $su(3) \times su(2) \times u(1) \equiv su(3)^c \times su(2)^w \times u(1)^{w/3}$, is beyond doubt. The theory is also full of unexplained patterns, and contains many free parameters. In unified theories (grand unified theories) one tries to find a simpler pattern by trying to fit the data of the Standard Model into a larger unity. The classical example is that of Georgi and Glashow (1974), who proposed a unified theory based upon the Lie algebra su(5). Even though the su(5) model has many nice features, it unified the $su(3) \times su(2) \times u(1)$ model only partly: for example, the fundamental fermions did not appear in a single irreducible representation (irrep) of su(5). Other models were proposed, of which the ones based upon the Lie algebras so(10) (Fritzsch and Minkowski, 1975;

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³ In this paper, we work only with Lie algebras and Lie superalgebras, and not their Lie groups. This is why we use the notation of Lie algebras rather than groups.

Georgi, 1975) and E_6 (Gürsey *et al.*, 1975) are the best known (Ross, 1985). Such unified theories had a setback when the proton decay, predicted in the su(5) model, was not confirmed by experiments. Since then, particle physics turned its attention to supersymmetry, superstrings, M-theory, . . .

All this time, a unification in terms of the Lie superalgebra su(1|5) has been given little attention (Batakis *et al.*, 1993; Dondi and Jarvis, 1980; Ne'eman and Sternberg, 1980). In this paper, we point out some elegant features of such a su(1|5) model. The Lie superalgebra su(1|5) contains $u(1) \times su(3)^c \times su(2)^w \times u(1)^w$ as a subalgebra. So essentially it contains the Lie algebra of the Standard Model as a subalgebra; the first u(1) can be considered as providing a label distinguishing between the same irreps of $su(3)^c \times su(2)^w \times u(1)^w$. One particularly nice feature is that *all fundamental fermions of a single generation* (so all leptons and quarks of left and right chirality and their antiparticles) *fit inside one single irreducible representation (irrep) of su*(1|5). Moreover, this irrep contains nothing else.

In Section 2, we describe the relevant irreps of su(1|5), and show how the fundamental fermions of the first generation (the other generations are similar) fit inside this. Section 3 gives some mathematical details of the branching from su(1|5) to $u(1) \times su(3)^c \times su(2)^w \times u(1)^w$. Furthermore, it gives an interesting description of the su(1|5) irreps considered here. Some final remarks are given in Section 4.

2. FUNDAMENTAL FERMIONS IN su(1|5)

Let us start by a description of the left-handed fundamental fermions in the Standard Model, i.e., the way they are grouped into irreps of $su(3)^c \times su(2)^w \times u(1)^w$ (see for example, Slansky (1981) or the classical reference books Aitchison and Hey (2003 and 2004); Cheng and Li, (1991)). This description is given in Table I. Herein, an irrep of $su(3)^c \times su(2)^w \times u(1)^w$ is labeled by $(\lambda \mu; j; Y)$: $(\lambda \mu)$ is the Dynkin label of the $su(3)^c$ irrep [so (00) stands for the 1-dimensional irrep, and the 3-dimensional irreps (10) or (01) are often referred to as **3** and $\mathbf{3}^*$]; (j) is the Dynkin label of $su(2)^w$ [(0) is the 1-dimensional irrep; (1) is the 2-dimensional irrep with isospins +1/2 and -1/2]; and finally Y is the $u(1)^w$ label corresponding to hypercharge. In this table, I_3^w is the notation for weak isospin, $Y = Y^w$ for hypercharge, and $Q = I_3^w + Y/2$ for electromagnetic charge.

The corresponding antiparticles with right chirality appear in the contragredient representations of the ones given in this table, that is: $(01; 1; -\frac{1}{3})$ for the right-handed up and down antiquarks $(\tilde{u}_R, \tilde{d}_R)$; $(10; 0; \frac{4}{3})$ for the right-handed up quark u_R ; $(10; 0; -\frac{2}{3})$ for the right-handed down quark d_R ; (00; 1; +1) for the right-handed positron and antineutrino $(\tilde{e}_R, \tilde{v}_R)$; (00; 0; -2) for the right-handed electron e_R ; and (00; 0; 0) for the right-handed neutrino v_R (though there is some doubt about whether right-handed neutrinos exist).

Irrep labels	Dim	Fermions	Symbol	$su(3)^c$ irrep	I_3^w	Y^w	Q
$(10; 1; +\frac{1}{3})$	6	Up and down quarks	$u_{ m L}$	3	+1/2	+1/3	+2/3
, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			$d_{ m L}$	3	-1/2	+1/3	-1/3
$(01;0;-\frac{4}{3})$	3	Up antiquarks	$ ilde{u}_{ m L}$	3*	0	-4/3	-2/3
$(01;0;+\frac{2}{3})$	3	Down antiquarks	$ ilde{d}_{ m L}$	3*	0	+2/3	+1/3
(00; 1; -1)	2	Electron and neutrino	$e_{ m L}$	1	-1/2	-1	-1
			$\nu_{ m L}$	1	+1/2	-1	0
(00; 0; +2)	1	Positron	$ ilde{e}_{ m L}$	1	0	+2	+1
(00; 0; 0)	1	Antineutrino	$\tilde{\nu}_L$	1	0	0	0

Table I. List of Left-Handed Fundamental Fermions

Let us now consider a particular class of representations of the Lie superalgebra su(1|5). In general, irreps of su(1|5) [or sl(1|5)] are labeled by five Kac–Dynkin labels (Kac, 1977). Here, we only need the irreps with Kac–Dynkin labels (p;0,0,0,0). Such a representation is typical if $p \notin \{0,1,2,3,4\}$ and atypical otherwise (Kac, 1977, 1978). If p is real and p > 4, then the irrep is unitary; the atypical irreps with $p \in \{0,1,2,3,4\}$ are also unitary (Gould and Zhang, 1990). The typical irreps (p;0,0,0,0) have dimension 32 and their decomposition or branching to $u(1) \times su(3)^c \times su(2)^w \times u(1)^w$ is given by:

$$(p;0,0,0,0,0) \rightarrow \left(\frac{5p}{4};00;0;0\right) + \left(\frac{5p}{4} - 1;10;0; -\frac{2}{3}\right) \\ + \left(\frac{5p}{4} - 1;00;1; +1\right) + \left(\frac{5p}{4} - 2;01;0; -\frac{4}{3}\right) \\ + \left(\frac{5p}{4} - 2;10;1; +\frac{1}{3}\right) + \left(\frac{5p}{4} - 2;00;0; +2\right) \\ + \left(\frac{5p}{4} - 3;10;0; +\frac{4}{3}\right) + \left(\frac{5p}{4} - 3;01;1; -\frac{1}{3}\right) \\ + \left(\frac{5p}{4} - 3;00;0; -2\right) + \left(\frac{5p}{4} - 4;01;0; +\frac{2}{3}\right) \\ + \left(\frac{5p}{4} - 4;00;1; -1\right) + \left(\frac{5p}{4} - 5;00;0;0\right). \tag{1}$$

Herein, the first label is just the new u(1) value, and the remaining labels are as previously introduced for $su(3)^c \times su(2)^w \times u(1)^w$. It is easy to check that the dimensions in the right-hand side of (1) do indeed add up to 32.

The atypical irreps have lower dimensions:

$$dim(4; 0, 0, 0, 0) = 31, dim(3; 0, 0, 0, 0) = 26,$$

$$dim(2; 0, 0, 0, 0) = 16, dim(1; 0, 0, 0, 0) = 6,$$
 (2)

and of course the trivial irrep (0; 0, 0, 0, 0) has dimension 1. The decompositions of the atypical irreps (2) to $u(1) \times su(3)^c \times su(2)^w \times u(1)^w$ can still be obtained from (1) by deleting those subalgebra irreps with nonpositive u(1) label. For example, the decomposition of (4; 0, 0, 0, 0) is given by (1) with p = 4 but the last line deleted; the decomposition of (3; 0, 0, 0, 0) is given by (1) with p = 3 but the last two lines deleted, etc. These decompositions can be obtained from character formulas for typical and singly atypical irreps (Van der Jeugt et al., 1990).

It should now be clear that the 32-dimensional su(1|5) irreps (p; 0, 0, 0, 0) accommodate all fundamental fermions, and nothing more. This is once more summarized in Table II.

Note that for p = 4, one finds the same table but with the last line deleted, so without the right-handed neutrino (as some would prefer).

Observe that su(1|5) representations were also used in Ne'eman and Sternberg (1980) to accommodate fundamental fermions. In Ne'eman and Sternberg (1980), the identification of basis states of the representation with the fermions is different from the one given here (in the sense that the $su(3)^c \times su(2)^w \times u(1)^w$ subalgebra structure is not maintained). Moreover, in the construction of the present paper p is in principle arbitrary, and the representations considered are irreducible (see also next section). The representation constructed in Ne'eman and Sternberg (1980) corresponds to p = 2, and is a

Table II. All Left- and Right-Handed Fundamental Fermions in the Irrep (p; 0, 0, 0, 0) of su(1|5)

Subalgebra irrep	Dim	Fermions
$\left(\frac{5p}{4};00;0;0\right)$	1	$ ilde{ u}_{ m L}$
$\left(\frac{5p}{4}-1;10;0;-\frac{2}{3}\right)$	3	d_{R}
$\left(\frac{5p}{4} - 1;00;1;+1\right)$	2	$(\tilde{e}_{\rm R},\tilde{v}_{\rm R})$
$\left(\frac{5p}{4}-2;01;0;-\frac{4}{3}\right)$	3	$ ilde{u}_{ m L}$
$\left(\frac{5p}{4}-2;10;1;+\frac{1}{3}\right)$	6	$(u_{\rm L},d_{\rm L})$
$\left(\frac{5p}{4} - 2;00;0;+2\right)$	1	$ ilde{e}_{ m L}$
$\left(\frac{5p}{4} - 3;00;0;-2\right)$	1	e_{R}
$\left(\frac{5p}{4} - 3; 01; 1; -\frac{1}{3}\right)$	6	$(\tilde{u}_{\rm R},\tilde{d}_{\rm R})$
$\left(\frac{5p}{4} - 3; 10; 0; +\frac{4}{3}\right)$	3	$u_{\rm R}$
$\left(\frac{5p}{4} - 4; 01; 0; \frac{2}{3}\right)$	3	$ ilde{d}_{ m L}$
$\left(\frac{5p}{4} - 4;00;1;-1\right)$	2	$(e_{\rm L}, \nu_{\rm L})$
$\left(\frac{5p}{4} - 5;00;0;0\right)$	1	$\nu_{ m R}$

32-dimensional *reducible* representation (the so-called Kac module (Kac, 1977, 1978)). The irreducible quotient of this representation is the 16-dimensional irrep with labels (2; 0, 0, 0, 0).

It should be added that the branching of su(1|5) irreps with respect to $u(1) \times su(5)$ has already been described in Dondi and Jarvis (1980), and repeated in Batakis *et al.* (1993). These descriptions correspond to the branching given here in (1).

In this paper, we shall give a particularly simple description of the basis states of these irreps, including their transformation under the Lie superalgebra generators of su(1|5).

3. THE LIE SUPERALGEBRA su(1|5) AND ITS IRREDUCIBLE REPRESENTATIONS (p; 0, 0, 0, 0)

For an introduction to the theory of (simple) Lie superalgebras and their notation, see Kac (1977, 1978) or Scheunert (1979). The algebra su(1|5) is a real form (Kac, 1977; Parker, 1980) of the Lie superalgebra sl(1|5), which is closely related to gl(1|5). A convenient basis of gl(1|5) is given by the Weyl generators e_{ij} , with $i, j \in \{0, 1, ..., 5\}$. The grading of gl(1|5) is as follows: the *even* elements are given by e_{00} and e_{ij} with $i, j \in \{1, ..., 5\}$; the *odd* elements are e_{0i} and e_{i0} (i = 1, ..., 5). The Lie superalgebra bracket (which stands for a commutator or an anticommutator) is determined by

$$[[e_{ij}, e_{kl}]] = \delta_{jk} e_{il} - (-1)^{\deg(e_{ij}) \deg(e_{kl})} \delta_{il} e_{kj}, \tag{3}$$

where $\deg(e_{ij})$ is 0 (resp. 1) if e_{ij} is even (resp. odd). One can define sl(1|5) as the (super)commutator algebra of gl(1|5); its basis consists of all elements e_{ij} ($i \neq j$) and the Cartan elements $e_{00} + e_{ii}$ (i = 1, ..., 5). All such elements have supertrace 0. The form of sl(1|5) corresponding to su(1|5) satisfies

$$e_{ij}^* = e_{ji}. (4)$$

The representations (p; 0, 0, 0, 0) have been studied extensively in Palev (1980). Here we summarize some properties (see also Palev *et al.*, 2003). The basis vectors or states of the irrep (p; 0, 0, 0, 0) are of the form

$$|p;\theta\rangle = |p;\theta_1,\theta_2,\theta_3,\theta_4,\theta_5\rangle$$
, with $\theta_i \in \{0,1\}$ and $|\theta| = \sum_{i=1}^{5} \theta_i \le p$. (5)

So clearly, one finds back the dimension 32 for $p \ge 5$, and the condition $|\theta| \le p$ is in agreement with the dimensions of the atypical irreps given in (2).

The action of the diagonal generators on these states is given by:

$$e_{00}|p;\theta\rangle = (p-|\theta|)|p;\theta\rangle,$$
 (6)

$$e_{ii}|p;\theta\rangle = \theta_i|p;\theta\rangle.$$
 (7)

The action of the odd generators e_{0i} and e_{i0} is also simple:

$$e_{0i}|p;\theta\rangle = \theta_i(-1)^{\theta_1+\dots+\theta_{i-1}}\sqrt{p-|\theta|+1}|p;\theta_1,\dots,\theta_i-1,\dots,\theta_n\rangle, \quad (8)$$

$$e_{i0}|p;\theta\rangle = (1-\theta_i)(-1)^{\theta_1+\dots+\theta_{i-1}}\sqrt{p-|\theta|}|p;\theta_1,\dots,\theta_i+1,\dots,\theta_n\rangle.$$
(9)

The action of the remaining even generators e_{ij} on these basis states follows from the above and $e_{ij} = [e_{i0}, e_{0j}]$ $(1 \le i \ne j \le 5)$:

$$e_{ij}|p;\theta\rangle = \theta_j(1-\theta_i)(-1)^{\theta_i+\dots+\theta_{j-1}}|p;\dots,\theta_i+1,\dots,\theta_j-1,\dots\rangle, \text{ for } i < j;$$
(10)

$$e_{ij}|p;\theta\rangle = -\theta_j(1-\theta_i)(-1)^{\theta_j+\ldots+\theta_{i-1}}|p;\ldots,\theta_j-1,\ldots,\theta_i+1,\ldots\rangle, \text{ for } i>j.$$
(11)

Note that the vectors $|p;\theta\rangle$ form an orthonormal basis for the irrep (p;0,0,0,0), and that — in agreement with the form (4) — the representatives of the generators satisfy $e_{ij}^{\dagger}=e_{ji}$ with respect to this inner product.

We shall now describe some relevant subalgebras of su(1|5). First of all, the even subalgebra of su(1|5) is $u(1) \times su(5)$. Herein, the su(5) basis consists of all elements e_{ij} ($i \neq j$) with $1 \leq i, j \leq 5$ and the diagonal elements $e_{ii} - e_{i+1,i+1}$ ($1 \leq i < 5$). The u(1) generator should be an element with supertrace 0, commuting with su(5). It is unique up to a factor, and we choose:

$$X = \frac{1}{4}(5e_{00} + e_{11} + e_{22} + e_{33} + e_{44} + e_{55}). \tag{12}$$

It is clear from the above actions (6)–(7) that

$$X|p;\theta\rangle = \left(\frac{5}{4}p - |\theta|\right)|p;\theta\rangle. \tag{13}$$

This yields the values of u(1) in (1) or in Table II.

Next, we consider the usual subalgebra $su(3)^c \times su(2)^w \times u(1)^w$ of su(5). In the current case, the generators of $su(3)^c$ are given by e_{ij} , e_{ji} $(1 \le i < j \le 3)$, $e_{11} - e_{22}$ and $e_{22} - e_{33}$. Those of $su(2)^w$ by e_{45} , e_{54} , and $e_{44} - e_{55}$. In fact, the usual weak isospin generator corresponds to $I_3^w = \frac{1}{2}(e_{44} - e_{55})$. Finally, the $u(1)^w$ generator should be traceless and commuting with $su(3)^c \times su(2)^w$; it is again unique up to a factor, and in order to find back the common unities one takes:

$$Y = Y^w = -\frac{2}{3}(e_{11} + e_{22} + e_{33}) + (e_{44} + e_{55}).$$
 (14)

It is now easy to check the action of all these generators on the states $|p;\theta\rangle$, and to associate a fundamental fermion with each of these basis vectors. For example, $|p;00000\rangle$ corresponds to the left-handed antineutrino \tilde{v}_L . The three

basis vectors

$$|p; 10000\rangle, |p; 01000\rangle, |p; 00100\rangle$$

are the basis vectors of the $u(1) \times su(3)^c \times su(2)^w \times u(1)^w$ irrep $(\frac{5p}{4} - 1; 10; 0; -\frac{2}{3})$, so they correspond to the three right-handed down quarks (one of each color). The two basis vectors

$$|p;00010\rangle, |p;00001\rangle,$$

are the vectors of the $u(1) \times su(3)^c \times su(2)^w \times u(1)^w$ irrep $(\frac{5p}{4} - 1; 00; 1; +1)$, and so they correspond to the right-handed positron \tilde{e}_R and antineutrino \tilde{v}_R . Continuing with this leads to Table III and a complete correspondence between the basis vectors $|p;\theta\rangle$ and the fundamental fermions.

As already observed in Dondi and Jarvis (1980); Batakis *et al.* (1993), the adjoint representation of su(1|5) can be associated with the usual 24 gauge bosons of su(5), plus the complex 5 of Higgs mesons (5 + 5) and an extra neutral vector boson. It follows from (6)–(11) that the action of the 24 su(5) generators on the basis states of (p; 0, 0, 0, 0) is p-independent; however, the action of the remaining 11 su(1|5) generators does depend upon p. This opens the possibility

Table III. Association of Fundamental Fermions With Basis Ve	ctors $ p;\theta\rangle$
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Basis vectors $ p;\theta\rangle$	Subalgebra representation	Fermions
<i>p</i> ; 00000⟩	$\left(\frac{5p}{4};00;0;0\right)$	$ ilde{ u}_{ m L}$
$ p;10000\rangle, p;01000\rangle, p;00100\rangle$	$\left(\frac{5p}{4}-1;10;0;-\frac{2}{3}\right)$	d_{R}
$ p;00010\rangle, p;00001\rangle$	$\left(\frac{5p}{4}-1;00;1;+1\right)$	$\tilde{e}_{\mathrm{R}},\tilde{v}_{\mathrm{R}}$
$ p; 11000\rangle, p; 10100\rangle, p; 01100\rangle$	$\left(\frac{5p}{4}-2;01;0;-\frac{4}{3}\right)$	$ ilde{u}_{ m L}$
$ p; 10010\rangle, p; 01010\rangle, p; 00110\rangle$	$\left(\frac{5p}{4}-2;10;1;+\frac{1}{3}\right)$	$u_{ m L}$
$ p; 10001\rangle, p; 01001\rangle, p; 00101\rangle$	($d_{ m L}$
$ p;00011\rangle$	$\left(\frac{5p}{4}-2;00;0;+2\right)$	$ ilde{e}_{ m L}$
$ p; 11100\rangle$	$\left(\frac{5p}{4} - 3;00;0;-2\right)$	$e_{ m R}$
$ p;11010\rangle, p;10110\rangle, p;01110\rangle$	$\left(\frac{5p}{4}-3;01;1;-\frac{1}{3}\right)$	$ ilde{d}_{ m R}$
$ p; 11001\rangle, p; 10101\rangle, p; 01101\rangle$,	\tilde{u}_{R}
$ p;10011\rangle,\; p;01011\rangle, p;00111\rangle$	$\left(\frac{5p}{4} - 3; 10; 0; +\frac{4}{3}\right)$	$u_{\rm R}$
$ p;11011\rangle, p;10111\rangle, p;01111\rangle$	$\left(\frac{5p}{4}-4;01;0;+\frac{2}{3}\right)$	$ ilde{d}_{ m L}$
$ p;11110\rangle, p;11101\rangle$	$\left(\frac{5p}{4} - 4;00;1;-1\right)$	$v_{\rm L}, e_{\rm L}$
p; 11111\)	$\left(\frac{5p}{4}-5;00;0;0\right)$	$\nu_{ m R}$

that three generations of fundamental fermions could be associated with three different p-values.

4. COMMENTS AND CONCLUSIONS

In this paper, we have presented a group theoretical framework for unified model building in elementary particle physics. Elementary particles is not our field of specialization, so we leave it to the specialists to consider this representation theoretic picture as a basis for real models. It is only after such considerations that the proposed su(1|5) structure can be regarded as an interesting part of physics, or whether it is just a mathematical coincidence.

Let us nevertheless point out some peculiar properties of the present work. First of all, su(1|5) has su(5) as a subalgebra, so the Georgi–Glashow unification (Georgi and Glashow, 1974) is automatically built in. It is not clear to us, however, whether a model based upon su(1|5) would have the same difficulties as that based upon su(5).

An interesting feature of su(1|5) is that all 32 fundamental fermions are accommodated in one and the same irrep (p; 0, 0, 0, 0), where p > 4 can be chosen arbitrary. For p = 4, the irrep has dimension 31, and the bottom line of Table II or Table III should be left out. In other words: the right-handed neutrino is then deleted from the set.

The Lie superalgebras su(m|n) have the peculiar property that they possess non-equivalent irreps with the same weight structure (up to a u(1)-shift), a property not holding for (simple) Lie groups or Lie algebras. For example, for two distinct values p_1 and p_2 greater than 4, the irreps $(p_1; 0, 0, 0, 0)$ and $(p_2; 0, 0, 0, 0)$ are non-equivalent representations of su(1|5). But both have the same dimension (namely 32), and essentially the same weight structure (up to a shift in the first u(1) value). In other words, the branching to the subalgebra $su(3)^c \times su(2)^w \times u(1)^w$ is the same for both irreps. Such a feature is not possible for Lie algebras. This property opens the possibility that the three known generations of fundamental fermions could be associated with three non-equivalent su(1|5) irreps of the type (p; 0, 0, 0, 0), all three having the same $su(3)^c \times su(2)^w \times u(1)^w$ subirreps.

As a final speculation, let us mention that a new kind of unification also raises the question of a new kind of substructure. In the case of su(1|5), this could be offered by the quasi-fermi operators (Palev *et al.*, 2003), which behave similar to Fermi operators, and can be used as "creation and annihilation operators" precisely for the construction of the irreps with labels (p; 0, 0, 0, 0).

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